Robust recognition technique for handwritten Kannada character recognition using capsule networks

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Article Info ABSTRACT

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Capsule networks CNN Document images Handwritten Kannada characters Image processing OCR Automated reading of handwritten Kannada documents is highly challenging due to the presence of vowels, consonants and its modifiers. The variable nature of handwriting styles aggravates the complexity of machine based reading of handwritten vowels and consonants. In this paper, our investigation is inclined towards design of a deep convolution network with capsule and routing layers to efficiently recognize Kannada handwritten characters. Capsule network architecture is built of an input layer, two convolution layers, primary capsule, routing capsule layers followed by trilevel dense convolution layer and an output layer. For experimentation, datasets are collected from more than 100 users for creation of training data samples of about 7769 comprising of 49 classes. Test samples of all the 49 classes are again collected separately from 3 to 5 users creating a total of 245 samples for novel patterns. It is inferred from performance evaluation; a loss of 0.66% is obtained in the classification process and for 43 classes precision of 100% is achieved with an accuracy of 99%. An average accuracy of 95% is achieved for all remaining 6 classes with an average precision of 89%.

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1. INTRODUCTION

Recognition of hand written characters from document images is an open research problem in the area of optical character recognition (OCR). Realization of higher accuracy is quite demanding especially for hand written documents. In this connection recognition of South Indian languages is challenging compared to North Indian hand written documents. In particular, a research exploration towards Kannada handwritten characters is highly difficult due to its large number of character classes and complex geometrical topography [1]. Kannada character sets for recognition is typically composed of 13 vowels and 25 consonants as shown in Figure 1.

In addition to the basic Kannada character sets, vowel conjuncts of 13 and consonants conjuncts of 25 are present as shown in Figure 2. The complexity of character recognition is dependent on the character set and its number of classes. In Kannada number of character classes will be 13*25*25 [2]. Increasing in number of classes aggravates the challenges in feature analysis and classification process. Additionally, challenges inherent in hand written datasets introduce barriers to attain higher recognition accuracy.

Ample research investigations were reported earlier for classification of hand written Kannada characters. The techniques of character classification are based on machine learning and deep learning procedures [3], [4]. Although machine learning based techniques perform better towards classification of Kannada character classes, the results are appreciable only for limited number of classes. Thus, the research

efforts towards classification of large number of character sets are accomplished through deep learning procedures. OCR technologies are generally developed addressing the script based recognition needs. OCR's that perform better towards one script results in poor performance towards other scripts. The performance of OCR also depends on printed/hand written datasets, number of writing styles, script type and document layout. Some of the OCR systems are template based that follow strict rules during input image acquisition stage. Several recent studies in [5]-[10] proposed hand written character classification using deep learning based models.

ಅ	అ	g	ਚ	ເ ທ	ೂ ಋ		
2	ప	8	ພ	ఓ ఔ	g 60	ഋഃ	
	ಕ	ಖ	ಗ	ಘ	23		
	રક	ಛ	z	ರ್ಭ) ಇ		
	દર	ರ	ಡ	ಢ	63		
	ತ	ಥ	ದ	ಧ	ನ		
	ಪ	ಷ	ພ	ಭ	ಮ		
d	ల	ವ	B	3	いた	ക	ಳ
	ఎ	ಎ ಏ ಕ ಚ ಟ ತ ಪ	ಎ ಏ ಐ ಕ ಖ ಚ ಛ ಟ ರ ತ ಥ ಪ ಫ	ಎ ಐ ಐ ಒ ಕ ಖ ಗ ಚ ಛ ಜ ಟ ಠ ಡ ತ ಥ ದ ಪ ಫ ಬ	ಎ ಐ ಐ ಒ ಶ ಕ ಖ ಗ ಘ ಚ ಛ ಜ ಝ ಟ ಠ ಡ ಢ ತ ಥ ದ ಧ ಪ ಫ ಬ ಭ	ಎ ಐ ಐ ಒ ಔ ಅಂ ಕ ಖ ಗ ಘ ಙ ಚ ಛ ಜ ಝ ಞ ಟ ಠ ಡ ಢ ಣ ತ ಥ ದ ಧ ನ ಪ ಫ ಬ ಭ ಮ	ಎ ಏ ಐ ಒ ಔ ಅಂ ಆಃ ಕ ಖ ಗ ಘ ಙ ಚ ಛ ಜ ಝ ಞ ಟ ಠ ಡ ಢ ಣ ತ ಥ ದ ಧ ನ ಪ ಫ ಬ ಭ ಮ

Figure 1. Kannada character set

ల	ಆ	g	ಈ	ಕ	బ	ヿ	ಘ	ස	ಚ
				ಛ	ಜ	ಝ	g	ಟ	ರ
ಉ	സ	ಋ	ධ	ಡ	ಢ	အ	ತ	ಥ	ದ
చ	ສ	ఒ	ఓ	ಧ	ನ	ಪ	ಫ	బ	
				ಮ	ಯ	ರ	ස	ಲ	ವ
캾				ಶ	ಷ	ಸ	ക	ಳ	ಲ

Figure 2. Kannada character set vowels and consonants conjuncts

In the literature there exists more number of research attempts in the area of Kannada hand written character recognition. Some of the significant research include Joe *et al.* [11] proposed offline character recognition system for hand written characters using convolutional neural network. It is proved that hand crafted features are not required for hand written character classification. In an another work, Ramesh *et al.* [12] also employed convolutional neural network for handwritten Kannada character recognition. An accuracy of 93.2% is obtained towards classification of basic vowels and consonants. Further Sandhya *et al.* [13] investigated a framework for degraded Kannada character recognition by addressing character stroke breakages, complex compound characters in printed documents using machine learning based techniques. In an another work Ucar *et al.* [14] used capsule network for classification of Kannada handwritten digit datasets. A comparison is performed using convolutional neural networks and observed that Capsule Network model provide better efficiency.

Mahapatra *et al.* [15] applied learning viz auto encoders to classify handwritten Kannada characters using KNN and SVM classifiers. Later, capsule networks for recognition of low resource languages such as Kannada is investigated by Abeysinghe and Perera [16]. The applicability of the model is extended to languages such as oriya and Sinhala character sets. In a different work Ramesh and Kumar [17] applied convolutional neural network for recognition of Kannada handwritten words, SVM classifier is employed as part of fully connected layer. Mamatha [18] proposed a dataset for handwritten Kannada vowels and classified the local binary pattern, run length count and chain codes using K-means clustering. Mahapathra *et al.* [19] proposed a generator based methods for offline handritten character recognition using convolutional auto encoder with GAN architecture. Indira and Selvi [20] reviewed various methods for Kannada printed character recognition. In another work applicability of wavelet transform and structural features are adapted for Kannada handwritten numerals.

Recognition of Arabic characters is proposed by Ahamad *et al.* [22] using convolutional neural networks trained using different learning rates. In a subsequent work, Boufenar *et al.* [23] classified handwritten Arabic characters using convolutional networks through transfer learning approach. Followed by this Vaidya *et al.* [24] carried out handwritten character classification using deep learning convolutional model. Experimentations are conducted on handwritten digits. A character recognition model is proposed by Ram *et al.* [25] using deep convolutional neural network. In another work Wick *et al.* [26] applied deep learning packages for optical character recognition. A series of attempts by Zhao *et al.* [27], Selmi *et al.* [28], Iamsa *et al.* [29], Nair *et al.* [30], Alif *et al.* [31] used variety of deep learning architectures for various handwritten character recognition problems.

From the literature, it is evident that deep learning systems are widely used for recognition of handwritten characters. However the focus towards classification of South Indian scripts is rarely noticed. Though the deep learning architectures proposed for character and digit classification are successful, the realization of high accuracies with respect to handwritten character recognition is a failure. In a couple of works satisfactory progress is achieved towards North Indian scripts based on Devanagari. Thus, there exists a scope for applying deep learning based models for handwritten Kannada character recognition. In the proposed work, capsule network based deep learning model is applied to classify Kannada handwritten characters.

2. PROPOSED METHOD

Representation of geometrical relationships via artificial neural networks is the inclination of capsule networks (CN). It is a type of convolutional neural network that provides a way of reusing the output produced in a specific iteration to stabilize the outputs to be obtained in subsequent iterations. CN's possess strange abilities in interpretation of feature maps to various images, this will help in various image classification and recognition tasks. The features employed for learning are present at the core of convolution layers in CN's. With regard to handwritten character recognition problem, learning from the level of pixels with respect to edges and color suffices the image recognition tasks. Unlike convolutional neural network (CNN), the CN's are not adaptable to the change in small information in the parts of image. Therefore in the proposed method an attempt is made towards classification of handwritten Kannada characters using CN's. Figure 3 depicts the architecture of capsule network to perform the classification of handwritten Kannada characters. Architecture of CN is comprised of an input layer followed by two levels of convolution layers, two levels of capsule layers preceded by squash and squeeze functions and a multilevel upsampling followed by an output layer.





Robust recognition technique for handwritten Kannada character ... (N. Shobha Rani)

Input layer is built of m*n number of neurons for an image of dimension m*n after which convolution layers C_1 and C_2 . C_1 built of 256 convolution kernels of dimensions 9*9 and C2 further down samples input from C_1 . Convolution layer C_2 is composed of 64 convolution kernels each of dimension 6*6. Subsequently the down sampled outcomes produced from convolution layer C_2 are subject to capsule layer in two levels. In CNN each node in the input layer represents a pixel, input data from image is transformed to the subsequent layers for down sampling. In the down sampling process their exist a loss of positional attributes that results in loss of location of object structures and direction and its gradient directions. Therefore, capsule layers are introduced in the proposed architecture that can retain more information about object structures in terms of its position, size and orientation. Thus the information prevalent to perform Kannada hand written character classification can be stored in the form of capsules. Capsules are vectors that emphasize the positional attributes of objects along with spatial invariance information. Each capsule carries a degree of features across a range of spatial variations adequate to handle the handwritten Kannada character recognition task. Hence the information in a particular capsule helps in better identification of a character. In proposed architecture, a capsule vector of length 16 is employed in the layer 1 with each capsule size of 5 and number of filters as 16.

In capsule layer 2, capsule vector length of 32 with number of capsules 49 and a routing step size of one is adapted. The length of capsule vector represents the probability of existence of an object with its orientation details and it also acts as one of the instantiation parameters. Activation of capsules at layer 1 assists in making predictions and also instantiation of parameters in the subsequent capsule layers. In level one, 16 nodes are used in each capsule that represents 16 different feature dimensions for one character. The output of a capsule layer one is a vector comprising of properties such as width, scale, stroke thickness and square of a particular character. The elements of the capsule vector are delimited between the intervals of 0-1 indicating the probability of affinity to each class. Whenever multiple predictions from two capsule layers commensurate that results in activation of a neurons in capsules in the higher levels. Additionally these activated neurons from the capsule are subject to squash and squeeze functions as follows. Squash and squeeze are activation functions which are applied over the outputs produced by the capsule layers [32]. These functions are mainly useful in inferring nonlinear relationships within the data and typically act as activation layers by returning a vector of elements that falls in the range of 0 and 1. Squash is a special activation function that carries out the normalization on the scaled output values produced by capsule layers. If Vec_i represents the output vector returned by squash function, j represents a capsule in the layer l, S_i represents a vector of scalars produced by capsule j in layer l then activation obtained through squash function is given by (1).

$$Vec_{j} = \frac{\|sca_{j}\|^{2}}{1 + \|sca_{j}\|^{2}} x \frac{s_{j}}{\|s_{j}\|}$$
(1)

Thus Vec_j is a normalized vector returned by applying squash function on sca_j . Further Vec_j represents the route through which data from various capsules to be trained subsequently.

Figure 4 gives the illustration of data being routed after applying squash function. The outputs obtained from routing capsule layers are further subject to excitation using squeeze function. Channel wise feature map vectors are recalibrated using squeeze by explicitly modeling inter-dependencies between the features. This will increase the accuracy via better representation of channel wise features for classification. Following the squeeze excitation there are repeated convolutions at level 1, level 2, and level 3 through convolution layer 3, convolution layer 4 and convolution layer 5. Additionally a softmax activation function is applied on the up sampled output vectors produced from up convolution process. Softmax function helps in mapping of the output vectors to desired number of classes.



Figure 4. Routing of data-capsule layers using SQUASH

3. EXPERIMENTATION

In the proposed work, performance of CN's is tested with 7,769 training datasets, 245 testing datasets and 490 samples for validation. A training and validation datasets are the samples of datasets that are held back for learning and unbiased performance evaluation. Test datasets are collected separately from about 200 users with references of 1-2 samples for each of the 49 classes resulting into 245 samples. To estimate the performance of trained model, validation is conducted with 490 samples for 49 classes with 10samples in each class. Validation data is mainly used to understand the accuracy estimate of the CN. Table 1 shows the details of datasets used for experimentation for all the 49 classes.

Table 1. Dataset details of Kannada handwritten character recognition

	No of Samples					No of Samples					
S1.	Character	Train	Test	Validation	Class	S1.	Character	Train	Test	Validation	Class
No					Label	No					Label
1	ల	218	5	10	1	26	టె	408	5	10	26
2	ಆ	201	5	10	2	27	Q	213	5	10	27
3	8	269	5	10	3	28	ಡ	100	5	10	28
4	ಈ	470	5	10	4	29	ಧ	75	5	10	29
5	ಉ	205	5	10	5	30	ସେ	10	5	10	30
6	ശര	203	5	10	6	31	ē	10	5	10	31
7	ಋ	204	5	10	7	32	ಥ	10	5	10	32
8	ව	221	5	10	8	33	ದ	133	5	10	33
9	ప	416	5	10	9	34	ಧ	82	5	10	34
10	හ	241	5	10	10	35	ನ	15	5	10	35
11	2	206	5	10	11	36	ಪ	10	5	10	36
12	ఓ	225	5	10	12	37	ಫ	10	5	10	37
13	ಸ್	248	5	10	13	38	బ	192	5	10	38
14	ಅಂ	204	5	10	14	39	ಭ	173	5	10	39
15	లః	112	5	10	15	40	ಮ	150	5	10	40
16	ಕ	215	5	10	16	41	ಯ	148	5	10	41
17	ಖ	228	5	10	17	42	d	100	5	10	42
18	ヿ	202	5	10	18	43	ಲ	44	5	10	43
19	ಘ	132	5	10	19	44	ವ	77	5	10	44
20	ŝ	238	5	10	20	45	ಶ	110	5	10	45
21	ಚ	205	5	10	21	46	ಪ	10	5	10	46
22	ಛ	110	5	10	22	47	ž	20	5	10	47
23	ಜ	351	5	10	23	48	ಹ	125	5	10	48
24	ಝ	10	5	10	24	49	ಳ	200	5	10	49
25	ୁ ଅ	10	5	10	25						

A mini batch gradient descent approach with batch size of 50 and number of training steps equal to 7000 is considered for evaluation. Learning frameworks used includes TensorFlow, Keras 2.1.5 and OpenCV 4.5.1 and the primary development environment used is Spyder. The computing resources employed for simulation includes ASUS laptop with 16 GB RAM, Intel core I7 processor and an additional GPU memory of 4 GB. Each image sample considered for experimentation is a gray scale image with 28*28 pixels. The model is tested with different hyper parameters by modifying the batch sizes from 10-50. Samples of training, validation and test datasets are randomly chosen and few samples are shown in Figure 5.



Figure 5. Sample instances from training validation and testing

Robust recognition technique for handwritten Kannada character ... (N. Shobha Rani)

The margin loss obtained after instantiation of vectors from routing capsules with respect to the trained data is shown in the Figure 6. About 10-12 hours of time is consumed for training the CN with 7,769 samples of 49 classes and routing step size of 0.01. Reconstruction loss obtained for 7000 training steps after routing process via primary and routing capsules is shown in Figure 7.



It is observed that a loss of 0.66 is found at the end of 7000 training steps with the validation dataset samples and total loss for all the 49 character classes from the capsule network is found to be less than 3%. If 'M' represents reconstruction loss, 'T' as total loss and ' β ' represents as scaling factor than total loss 'T' is given by (2). Figure 8 shows the total loss obtained during classification.

$$T = M + \beta(R) \tag{2}$$

The overall accuracy obtained is found to be 97% with average accuracy of 95% across all classes. Figure 9 depicts the accuracy obtained towards classification of hand written Kannada characters. Table 2 shows the performance metrics in terms of precision, recall and accuracy with respect to every class. From the Table 2, it is evident that 48 out of 49 classes are classified with an average accuracy of more than 91.5%. i.e. 4 out of 5 samples are classified on an average for 18 classes and 5 out of 5 samples are correctly classified for all the remaining classes. Experimentation on the proposed datasets are also conducted using Inception V3 network and accuracy of the handwritten Kannada character recognition is found to be 95%. Cross entropy is also evaluated to depict the distance between the predicted and actual class labels. Cross entropy depicts the loss occurred towards Kannada handwritten character recognition. Figures 10 and 11 depicts the performance of inception V3 network in terms of accuracy v/s number of iterations and cross entropy.

It is evident from the experimentation that performance of CN architecture is much better compared to inception V3 network. Also the loss occurred with regard to CN is much less compared to inception V3. Moreover, CN helps in reduced loss of features from the image during the convolution process. Thus, robustness of CN is much higher than the inception V3 network.





Figure 8. Total loss training and validation samples

Figure 9. Accuracy-training vs validation samples

Table 2. Performance metrics of capsule networks with test datasets								
Class label	Precision	Recall	F1-Score	Class label	Precision	Recall	F1-Score	
1	1.00	1.00	1.00	26	1.00	1.00	1.00	
2 3	1.00	1.00	1.00	27	0.95	1.00	0.97	
	1.00	1.00	1.00	28	0.77	1.00	0.87	
4	1.00	1.00	1.00	29	1.00	1.00	1.00	
5	1.00	1.00	1.00	30	0.90	1.00	0.95	
6	1.00	1.00	1.00	31	1.00	1.00	1.00	
7	1.00	1.00	1.00	32	0.96	1.00	0.98	
8	1.00	1.00	1.00	33	0.92	1.00	0.96	
9	1.00	1.00	1.00	34	0.83	1.00	0.91	
10	0.99	1.00	1.00	35	1.00	1.00	1.00	
11	1.00	1.00	1.00	36	0.80	0.80	0.80	
12	1.00	1.00	1.00	37	1.00	1.00	1.00	
13	1.00	1.00	1.00	38	1.00	0.80	0.89	
14	1.00	1.00	1.00	39	1.00	0.80	0.89	
15	0.93	1.00	0.97	40	0.00	0.00	0.00	
16	1.00	1.00	1.00	41	1.00	1.00	1.00	
17	0.91	1.00	0.95	42	1.00	1.00	1.00	
18	1.00	1.00	1.00	43	0.75	0.60	0.67	
19	1.00	1.00	1.00	44	0.86	1.00	0.92	
20	1.00	1.00	1.00	45	0.94	1.00	0.97	
21	1.00	1.00	1.00	46	1.00	0.80	0.89	
22	1.00	0.89	0.94	47	1.00	1.00	1.00	
23	1.00	1.00	1.00	48	1.00	1.00	1.00	
24	1.00	1.00	1.00	49	1.00	1.00	1.00	
25	1.00	1.00	1.00					
Overall Accuracy						0.97		
Macro Average							0.95	
Weighted Av	verage						0.97	



Figure 10. Accuracy of the inception V3 network



Figure 11. Cross entropy of inception V3 network

4. CONCLUSION

Deep learning networks are used extensively in the recent days to deal with variety of pattern recognition problems. In this work, we have investigated the applicability of CN towards classification of handwritten Kannada characters. A design of CN architecture is proposed with tunable hyper parameters. Comparison of proposed model is carried out with inception V3 model and proved that an accuracy of 99% is achieved with CN model. In future, the model can be extended to classify simple compound and multi compound characters with increased number of data samples.

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