Improved strategy of an MPPT based on the sliding mode control for a PV system

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ABSTRACT

The energy produced using a photovoltaic (PV) is mainly dependent on weather factors such as temperature and solar radiation. Given the high cost and low yield of a PV system, it must operate at maximum power point (MPP), which varies according to changes in load and weather conditions. This contribution presents an improved maximum power point tracking (MPPT) controllers of a PV system in various climatic conditions. The first is a sliding mode MPPT that designed to be applied to a buck converter in order to achieve an optimal PV array output voltage. The second MPPT is based on the incremental conductance algorithm or Perturb-and-Observe algorithm. It provides the output reference PV voltage to the sliding mode controller acting on the duty cycle of the DC-DC converter. Simulation is carried out in SimPower toolbox of Matlab/Simulink. Simulation results confirm the effectiveness of the sliding mode control MPPT under the parameter variation environments and shown that the controllers meet its objectives.

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1. INTRODUCTION

Photovoltaic systems use solar cells or a group of them to convert sunlight directly into electricity. The power produced by photovoltaic systems depends on the irradiation and temperature of the solar cells [1]. Habitually, the energy produced by solar cells is used to provide power to a load and the remaining energy is stored in batteries. The nonlinear current voltage (I-V) characteristic of PV presents a major challenge in their usage, which leads to a single maximum power point MPP in the power voltage (P-V) curve [2, 3]. This point varies with temperature and solar radiation that makes it harder to achieve.

The major principle of MPPT is to extract the maximum available power from PV module by making them operate at the most efficient voltage (maximum power point) [4-6]. Moreover, the maximum power varies according to the level of solar radiation, the ambient temperature and the temperature of the photovoltaic cells [7]. The MPPT functions as an integrated system, including a combination of a hardware part constituted by a DC-DC converter, and the control algorithm acting as a software part of the MPPT. This combination determines the value of the PV system [8].

Indeed, the role of the MPPT is tuning the operating point of the PV system, so as to extract the maximum power provided by the PV system. The design of an efficient MPPT scheme must ensure accurate and robust tracking, overcoming the presence of modeling errors, the non-linearity of the currentvoltage characteristic curves of the photovoltaic cell and the rapid changes in temperature and solar insolation. To reach the MPP, many papers have been presented with different control schemes which can extract maximum of solar power as much as possible. So, several methods have been implemented, namely tracking systems Perturb and Observe (P&O) [9-11], incremental conductance [12], open circuit voltage, and short circuit current [13]. In order to enhance their performance and to minimize the material, modified techniques have been proposed.

The P&O method is extensively used due to its simple implementation. It consists in the perturbation of the panel voltage periodically; by the variation (increasing and decreasing) of the cyclical ratio of the converter to reach MPPT. In incremental conductance, the voltage and current of the solar panel are measured to augur the effect of the variation of the voltage on the output power. The MPPT algorithms above can be implemented with a convergent stability guaranteed. However, in both methods rapid convergence requires a large step of perturbation resulting more chattering around MPP. In addition, the output power change reflects not only the effect of the variation of the voltage perturbed because the power can be changed by changing meteorological conditions [14].

Others MPPT control systems based on fuzzy logic have been introduced [15-18]. The fuzzy logic controllers (FLCs) are successfully applied in the implementation of MPPT. They offer better performance. Their control is robust and does not require exact prior knowledge of the mathematical model of the system. Fuzzy-based MPPT algorithms surpass those using P & O and incremental conductance because of their robustness [19]. An artificial neural network (ANN) has also been used with the FLC for the MPPT of the PV module [20, 21]. The Fuzzy and ANN-based MPPT algorithms exceed all others because of their robust response. However, the cost of calculation, the complexity of the implementation and the tendency to converge towards the local maxima in case of partial shading are the disadvantages.

Lately, many researches are underway in the field of non-linear control. Many non-linear controllers are also used to track PV module MPPs, including the sliding mode and backstepping control [22-27]. A Photovoltaic cell is a non-linear. Similarly, the converters are also modeled assuming that they respond linearly to the variable duty cycle. However, they also describe nonlinear behavior. The robustness and stability that a nonlinear controller can realize when tracking the MPP cannot be obtained by the others controllers [29]. In this paper, the sliding mode control (SMC) is proposed to regulate the duty cycle of DC-DC buck converter to bring the PV module to operate at its maximum power point. This control strategy present attractive features, such good stability, simplicity, high accuracy, robustness and a finite response time [29-31]. Two MPPTs versions are developed, the first is a Sliding Mode Controller MPPT that brings the solar panel to work maximum power point Figure 1. Secondly, an MPPT algorithm P&O or incremental conductance, provides to a sliding mode controller the output reference PV voltage. This controller acts on the switch of the buck converter for the solar panel output voltage rejoins the reference voltage Figure 2.



Figure 1. Control scheme with sliding mode controller MPPT



Figure 2. Control scheme with sliding mode controller and MPPT algorithm

2. SYSTEM MODELING

2.1. PV characteristic

A solar cell is a basic component of a PV system. Generally, only a few watts (2W) of power are produced by each solar cell and corresponds to the open circuit voltage is in between 0.5 and 0.7 V depending upon the material of solar cell. Since this power is insufficient to supply most devices, the voltage must be increased and therefore increasing the power. PV array module consists of number of series and

parallel connected cells as per the required rating of PV array [33]. So, to increase the voltage these cells are clustered in series and in parallel to increase the current. Solar cells present naturally nonlinear characteristics I-V and P-V, which depend on the solar radiation and the temperature of the cell. A simple electrical equivalent model with single diode is adopted. As shown in Figure 3, the equivalent circuit of a PV cell has a current source (Ipv), a diode connected in parallel, a series resistor (Rs) and a parallel resistor (Rp) [34]. The equation which reflects the relation between the voltage and current in the PV module can be written as:

$$I_{pv} = I_{ph} - I_0 \left(\exp\left(\frac{V_{pv} + I_{pv}R_s}{a \cdot V_T}\right) - 1 \right) - \frac{V_{pv} + I_{pv}R_s}{R_p}$$
(1)

where I_{ph} is the current generated by the incidence of light. Io is the reverse saturation current, V_T is the junction thermal voltage ($V_T=N_s.kT/q$) of N_s series-connected solar cells per module, q is the electron charge (1.60217646×10⁻¹⁹C), k is the Boltzmann constant (1.3806503×10⁻²³ J/K), T is the temperature of the p-n junction in Kelvin and **a** is the diode ideality factor. The light generated current I_{ph} and the saturation current depend on the temperature and solar radiation that can be expressed by the following equation:

$$I_{ph} = \left(I_{ph,n} + k_i \left(T - T_n\right)\right) \cdot \frac{G}{G_n}$$
⁽²⁾

where $I_{ph,n}$ is the light generated current at the nominal condition, G_n is the irradiance at STC, G is the surface irradiance of the cell and k_i is the short circuit current coefficient.

$$I_0 = I_{0,n} \cdot \left(\frac{T_n}{T}\right)^3 \cdot \exp\left[\frac{qE_g}{ak}\left(\frac{1}{T_n} - \frac{1}{T}\right)\right]$$
(3)

where E_g is the band gap energy of the semiconductor (1.12eV for the silicon), $I_{o,n}$ and $V_{oc,n}$ represents respectively the nominal saturation current and the open circuit voltage at STC, also T_n and T are, respectively, the nominal and the actual temperatures in Kelvin.



Figure 3. Equivalent circuit of solar cell

The PV array considered in this work is the monocristalin 100W Sunpower Flexible solar panel. The corresponding electrical characteristics are listed in Table 1. In order to use the solar panel in the following simulations; the identification of single diode model parameters is performed. The method adopted to determine I_{ph} , I_o , has R_S and R_P is the detailed in [35]. It is a simple and accurate method that allows using data supplied by the manufacturer to calculate these parameters. The results obtained are shown in Table 2. Figure 4 and Figure 5 show the I-V curves and P-V related to solar panel used for different Temperature Levels and different irradiance levels. The P-V curves pass through maximums in which the PV generates more power than other points. There are maximum power points for each curve. Therefore, during one day the maximum power point of a PV varies according to the irradiance and temperature.

Table1. Solar array datasheet parameters

5.7A
17.7V
100.89W
6.1A
21.7V
32
0.015%/°C
-0.34%/°C

Table 2. Parameters obtained of the solar panel

Solar panel parameter	Value
a	1.3
$R_{S}(\Omega)$	0.16272629
$R_{P}(\Omega)$	299.42
$I_0(A)$	9.3429e -9
Iph (A)	6.10331



Figure 4. (a) Current-voltage and (b) Power-voltage curves of PV array at 25°C



Figure 5. (a) Current-voltage and (b) Power-voltage curves of PV array at 1000W/m²

2.2. Buck converter modeling

The averaged model of the buck converter is obtained by applying the fundamental laws governing the functioning of the system. The converter scheme is shown in Figure 6. The dynamic equations of this converter can be expressed as:

$$\begin{cases} \frac{dx_1}{dt} = \frac{u}{L} x_2 - \frac{V_{bat}}{L} \\ \frac{dx_2}{dt} = \frac{1}{R_{pv}C_1} x_2 - \frac{u}{C_1} x_1 \end{cases}$$
(4)

where $x = [x_1 x_2]^T = [I_L V_{pv}]^T$, $R_{pv} = \frac{V_{pv}}{I_{pv}}$ as an equivalent load connected to PV panel and *u* is duty cycle. The buck converter is powered by the solar panel. The input voltage varies continuously with the weather. Therefore, the duty cycle should change to follow the maximum power point of the photovoltaic array.



Figure 6. Scheme of the DC-DC buck converter

3. SLIDING MODE CONTROL DESIGN:

Equation (4) can be written as follow:

$$\dot{x} = f(x) + g(x)d + H$$
(5)
where $f(x) = \begin{pmatrix} 0 \\ \frac{x_2}{R_{pv}C_1} \end{pmatrix}$, $g(x) = \begin{pmatrix} \frac{x_2}{L} \\ \frac{-x_1}{C_1} \end{pmatrix}$ and $H = \begin{pmatrix} -\frac{V_{bat}}{L} \\ 0 \end{pmatrix}$

According to the PV curves shown for various radiation levels in Figure 4 (b), the maximum power point MPP expression is characterized by:

$$\frac{\partial P_{pv}}{\partial V_{pv}} = \frac{\partial (V_{pv} I_{pv})}{\partial V_{pv}} = \frac{\partial I_{pv}}{\partial V_{pv}} V_{pv} + I_{pv} = 0$$
(6)

This expression is chosen as the sliding surface for the SMC MPPT

$$S(x) = \frac{\partial P_{pv}}{\partial V_{pv}}$$
(7)

Analyzing the relationship between the state of S(x) and the position of the operating point on Figure (7), it is necessary to make the choice of the following control law:

$$u = \begin{cases} 0 & if \ S(x) \ge 0\\ 1 & if \ S(x) < 0 \end{cases}$$
(8)

The equation of the photovoltaic current is given in (1). When we neglect the effect of the resistances RS and RP, the current Ipv becomes:

$$Ipv = Iph - I_o \left(\exp\left(\frac{V_{pv}}{aV_T}\right) - 1 \right)$$
⁽⁹⁾

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So, S(x) can be written as:

$$S(x) = \frac{\partial I_{pv}}{\partial V_{pv}} V_{pv} + I_{pv} = I_{ph} - I_o \left(1 + \frac{V_{pv}}{aV_T} \right) \exp \left(\frac{V_{pv}}{aV_T} \right) + I_o$$
(10)

The equivalent control input u_{eq} is obtained from the condition:

$$\dot{S}(x) = 0 \text{ and } \dot{S}(x) = \frac{dS(x)}{dt} = -\left(\frac{V_{pv}}{aV_T} + 2\right) \frac{I_o}{aV_T} \exp\left(\frac{V_{pv}}{aV_T}\right) \dot{V}_{pv} = 0$$
$$\Rightarrow \dot{V}_{pv} = 0$$

 u_{eq} is then deducted from (4):

$$u_{eq1} = \frac{x_2}{R_{pv}x_1} = \frac{I_{pv}}{I_L} \text{ with } I_L \neq 0$$
(11)

The equivalent command is effective once the trajectory of the system state reaches the sliding surface (sliding mode). A reaching law must be expressed to bring the trajectory to the sliding surface during the convergence mode.

The simplest form that can take is as follows:

$$u_n = -k_1.sign(S(x))$$

where k_1 represent a positive constant of the synthesis discontinuous law. The sliding mode control law can be written as below:

$$u_1 = u_{eq1} + u_n \tag{12}$$

To verify convergence in finite time, a candidate Lyapunov function is defined by:

$$V(x) = \frac{1}{2}S^2(x)$$

Its dynamic, relative to time, is given by:

$$\dot{V}(x) = S(x)\dot{S}(x) < 0$$

To ensure convergence in a finite time, we can choise :

$$\dot{S}(x) = -\left(\frac{V_{pv}}{aV_T} + 2\right) \frac{I_o}{aV_T} \exp\left(\frac{V_{pv}}{aV_T}\right) \frac{dV_{pv}}{dt}$$

In case: S(x) > 0

Whence $S(x)\dot{S}(x) < 0$

In case:

S(x) < 0 and $\frac{dV_{pv}}{dt} < 0 \Rightarrow \dot{S}(x) > 0$

Whence $S(x)\dot{S}(x) < 0$

It is demonstrated that the system is asymptotically stable.

4. SLIDING MODE CONTROLLER WITH MPPT ALGORITHM

In this section, the design of a sliding mode controller (SMC) using a reference voltage provided by an MPPT algorithm will be presented. For this control, the sliding surface becomes:

$$S(x) = x_2 - V_{pvref}$$

(13)

where V_{pvref} is voltage provided by an MPPT algorithm (Perturb & Observe or Incremental conductance). The control law for this case is described by:

$$\begin{cases} 0 & if S(x) < 0 \\ 1 & if S(x) > 0 \end{cases}$$
(14)

The equivalent control input u_{eq2} is obtained from the condition:

$$\begin{split} \dot{S}(x) &= 0\\ \dot{S}(x) &= \dot{x}_2 - \dot{V}_{pvref} = 0\\ \dot{S}(x) &= \frac{1}{R_{pv}C_1} x_2 - \frac{u_{eq2}}{C_1} x_1 - \dot{V}_{pvref} = 0\\ \Rightarrow u_{eq2} &= \frac{x_2}{R_{pv}x_1} - \frac{C_1}{x_1} \dot{V}_{pvref}\\ u_{eq2} &= \frac{I_{pv}}{I_L} - \frac{C_1}{I_L} \dot{V}_{pvref} \qquad \text{with } I_L \neq 0 \end{split}$$

a reaching law is taken:

$$u_n = k_2.sign(S(x))$$

where k_2 is a positive constant. The sliding mode control law can be written as below:

$$u_2 = u_{eq2} + u_n \tag{15}$$

4.1. Maximum power reference voltage

The role of the MPPT algorithm is to calculate the optimal output voltage of the photovoltaic panel called Vpvref which allows the PV system to function at its MPP. For that two well-known algorithms perturb-and-Observe algorithm with adaptive step and Incremental Conductance algorithm are tested.

4.1.1 Adaptive P&O algorithm

The algorithm adopted for adaptive Perturb & Observe is described as follows:

$$\begin{cases} V_{pvref}(t) = V_{pvref}(t-h) + k_{P\&O}.sign\left(\frac{\Delta P_{pv}}{\Delta V_{pv}}\right) \\ \Delta P_{pv} = P_{pv}(t) - P_{pv}(t-h) \\ \Delta V_{pv} = V_{pv}(t) - V_{pv}(t-h) \\ k_{P\&O} = k_3. \left|\Delta P_{pv}\right| \end{cases}$$

where $k_{P\&O}$ an adaptive step is dependent on the change of the PV power and h is the step time. The positive constant gain k_3 is adjusted to obtain the best functioning of the MPPT.

4.1.2. Incremental conductance algorithm

The algorithm adopted for Incremental Conductance is described as follows:

$$\begin{cases} V_{pvref}(t) = V_{pvref}(t-h) + k_{Inc.} sign\left(\frac{I_{pv}}{V_{pv}} + \frac{\Delta I_{pv}}{\Delta V_{pv}}\right) \\ \Delta I_{pv} = I_{pv}(t) - I_{pv}(t-h) \\ \Delta V_{pv} = V_{pv}(t) - V_{pv}(t-h) \\ k_{Inc} = k_4. \left|\Delta I_{pv}\right| \end{cases}$$

where k_{Inc} an adaptive step is dependent on the change of the PV power and h is the step time. The positive constant gain k_4 is adjusted to obtain the best suitable performances of the MPPT.

5. SIMULATION RESULTS

To verify the validity and effectiveness of the proposed sliding mode controllers, simulations are drawn to illustrate their performance. The controlled system is a buck dc-dc converter with the components indicated in Table 3. The parameters of the designed controllers are chosen as reported in Table 4. In this study, the monocrystalline 100W Sunpower Flexible solar panel is selected as the source of photovoltaic energy. The number of solar panels chosen to provide 500W maximum power is 5 modules connected in series. The simulation time is chosen short to show the response time of the studied controllers. Irradiance profile is shown in Figure 7 (f) and the Figures 7 (a-c) represent respectively the evolution of voltage, current and power of the PV array in response to the irradiance variation using sliding mode controller SMC MPPT. The voltage, current and power of the PV array using the SMC with Perturb and Observe MPPT algorithm are illustrated in Figures 8 (a-c) and those corresponding to the SMC with incremental conductance are in Figures 9 (a-c).

The load voltages corresponding to the different controllers are shown in Figure 7 (d), Figure 8 (d) and Figure 9 (d). The variations of duty cycle are depicted in Figure 7 (e), Figure 8 (e) and Figure. 9 (e). From simulations, the robustness of developed controllers is observed. The maximum power is achieved with excellent accuracy seen the variation of irradiance. From the various simulation results, we can conclude that the sliding-mode and the incremental-conductance control modes have faster convergence times to reach the smaller MPP power point compared to the perturbed and observed algorithm.



Figure 7. (a-c) Respectively voltage, current and power of PV array, (d) load voltage



Figure 7. (e) Duty cycle of buck control and (f) irradiance profile using SMC MPPT (continue)



Figure 8. (a-c) Respectively voltage, current and power of PV array, (d) load voltage (e) duty cycle of buck control and (f) irradiance profil using SMC P&O MPPT algorithm



Figure 9. (a-c) Respectively voltage, current and power of PV array, (d) Load voltage (e) duty cycle of buck control and (f) irradiance profil using SMC incremental conductance MPPT algorithm

6. CONCLUSION

In this paper, an improved maximum power point tracking (MPPT) controllers of a PV system in various climatic conditions is presented. The control based upon the sliding mode approach for PV system is synthesized. Using this fast and robust control system, suitable MPPT performances are obtained. Indeed, the design of MPPT control with sliding mode for photovoltaic system based a static buck converter represent one of the most effective ways to optimize PV systems with high performances. The key novelty of the control algorithm presented lies in the way dynamic of different methods to design sliding mode control with MPPT. A comparison between a sliding mode controller MPPT, a controller with incremental conductance algorithm and a one with perturb and observe algorithm is ended. The three controllers are efficient and robust. Rapidity to reach the maximum power point is the quality of the two cited above compared to the Perturb and Observe algorithm.

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