

Alienor method applied to induction machine parameters identification

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ABSTRACT

This paper presents an identification method to estimate simultaneously the electrical and mechanical induction machine (IM) parameters by using only the measured current and the corresponding phase voltage. This identification method is based on the output error and uses the multidimensional Alienor global optimization method as a minimization technique. Alienor method is essentially based on converting multivariable problem to monovariable one. To improve the Alienor method performance, the reducing transformation is proposed and compared with the genetic algorithm (GA). Firstly, the identification method is verified using the simulated data. Secondly, the validation is then confirmed by measured data from one machine. The corresponding computed transient and steady state currents agree well with the measured data. The results obtained show the superiority of the proposed Alienor method versus GA in terms of computing time.

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1. INTRODUCTION

Three-phase induction motors are often used in a lot of industrial applications. Because they have many advantages, such as their simple construction, their low price, rigidity and reduced maintenance. They present an effective industrial solution in the field of high-performance drives. However, Efficient control or the analysis of induction motor operation require the instantaneous knowledge of machine parameters [1]. So, these parameters can be obtained by different methods. They can be determined by the classical method, which is based on no-load and locked-rotor tests [2]. But by this method it is not evident to determine easily these parameters, because in the locked-rotor test it is very difficult to lock the rotor, especially for a large size motors. In addition to this, it can have a lack of precision result because of the rotor frequency [3].

In this case, the problem of estimating machine parameters became an optimization problem where we have to minimize the error between an experimental value and a calculated value. Several optimization techniques are presented in the literature [4] to resolve induction machine (IM) estimation. Some of them like the deterministic method require the first or the second derivative [5]. What returns difficult to solve the optimization problem. Among the deterministic method without gradient, we find the Cyclic, Hooke and Jeeves, and the Rosenbrock methods. These techniques are used in [6] to minimize the objective function in order to determine simultaneously the electrical and the mechanical parameters of the IM.

Consequently, evolutionary optimization methods are the ones most used for IM parameters estimation. An adaptive GA is suggested to determine the IM electric parameters in [7, 8]. Four evolutionary techniques (scatter search, evolutionary programming, ant colony, and particle swarm algorithms) were used

in [9] for off-line identification of IM parameters. An optimization method to estimate the six equivalent circuit parameters by using the particle swarm optimization (PSO) and the genetic algorithm (GA) independently is presented in [10]. For instance, in [11] two particle swarm optimization (PSO) structure approaches are used to estimate simultaneously electrical and mechanical parameters. In [2] a stochastic optimization technique is presented to estimate the equivalent circuit parameters of an induction machine from manufacturer data using the bacterial foraging technique. An improved PSO is presented in [12] to estimate the equivalent circuit parameters of an induction machine. This method integrates the particle swarm with the chaotic sequences to converge directly to the global minima. PSO, differential evolution (DE), and some of their recent variants for in situ efficiency determination of induction motor without performing no-load test are presented in [13]. An improved PSO called Systematic Mutation based Particle Swarm Optimization [14] is applied to parameter estimation and efficiency determination, so that parameter variation effect is taken into account. A dynamic real-coded genetic algorithm is applied in [15] to estimate the both of electrical and mechanical parameters.

In [16] a new method is proposed for the parameters estimation of the squirrel-cage induction motors (in the single-cage and double-cage models) based on artificial neural network (ANN) and adaptive neurofuzzy inference system (ANFIS). The method proposed in [17], is based on the differential evolution algorithm. It estimates the parameters of the equivalent electrical circuit, such as stator and rotor resistances and leakage inductances, the magnetizing inductance, and also mechanical parameters, such as moment of inertia and the friction coefficient. The performance of this technique is evaluated for three different input signals: current signal of a phase associated with the speed measured from tachogenerator, current signal of a phase associated with the speed acquired from a torque meter, and only the current signal of one phase. To estimate the equivalent circuit parameters, is presented in [18] an optimization approach based on swarm, which is called the Artificial Bee Colony (ABC) optimization. By this approach. Two different equivalent circuits are implemented for the parameter estimation scheme; one with parallel and the other with series magnetization circuit.

In spite of their effectiveness to solve complex non-linear optimization problems, the evolutionary methods are characterized by premature convergence and they are greedy in computing time. Therefore, a recent global optimization technique, called Alienor method has been proposed and introduced in any studies [19-21]. It was successfully used to solve different kinds of engineering problems [22-25]. This method is based on transforming the multidimensional problem to one-dimensional problem. In this case the global minimum search becomes simpler.

This paper proposes an optimization approach based on Alienor method, to estimate induction machine parameters. The optimization process starts with transforming the multidimensional problem to one-dimensional one. So, the electrical and mechanical parameters are given function of one variable. Using only the starting stator current and the corresponding phase voltage, both electrical and mechanical parameters are determined. This is accomplished by minimization of the quadratic output error between the measured current and the computed one. In order to investigate the feasibility of the proposed estimation method, tests on two benchmark functions and on the machine simulated data have been achieved. This algorithm has been tested experimentally by using measured data from a 1.5KW induction machine. To verify the effectiveness of the proposed method, the obtained results are compared with the GA technique results and the measured ones.

The paper is organized as follows. Section 2 presents the research method. In this section it finds, the induction machine model, the identification method and a clarification of the Alienor method. The method is used to determine simultaneously the electrical and mechanical parameters of an induction machine from the measured current and the corresponding phase voltage. Results and discussion are summarized in Section 3, where, the simulation and the experimental results are given to show the validity of the developed method. The paper is completed by a conclusion in Section 4.

2. RESEARCH METHOD

2.1. Induction machine model

For this study, it has used the IM mathematical model (Park's model) presented as follows by using the usual hypothesis (the saturation effect and skin effect are neglected, only one space harmonic is considered, the air gap constant). The present induction machine equations are related to a reference linked to the stator [1].

$$\frac{di}{dt} = AI + BU \quad (1)$$

Where:

$$I = [I_{ds} \quad I_{qs} \quad I'_{dr} \quad I'_{qr}]^t \quad (2)$$

$$U = [V_{ds} \quad V_{qs}]^t \quad (3)$$

$$A = \begin{bmatrix} -\frac{1}{\sigma T_s} & \frac{1-\sigma}{\sigma} p_0 \omega & \frac{1-\sigma}{\sigma T_r} & \frac{1-\sigma}{\sigma} p_0 \omega \\ -\frac{1-\sigma}{\sigma} p_0 \omega & -\frac{1}{\sigma T_s} & -\frac{1-\sigma}{\sigma} p_0 \omega & \frac{1-\sigma}{\sigma T_r} \\ \frac{1}{\sigma T_s} & -\frac{1}{\sigma} p_0 \omega & -\frac{1}{\sigma T_r} & -\frac{1}{\sigma} p_0 \omega \\ \frac{1}{\sigma} p_0 \omega & \frac{1}{\sigma T_s} & \frac{1}{\sigma} p_0 \omega & -\frac{1}{\sigma T_r} \end{bmatrix} \quad (4)$$

$$B = \begin{bmatrix} \frac{1}{\sigma L_s} & 0 \\ 0 & \frac{1}{\sigma L_s} \\ -\frac{1}{\sigma L_s} & 0 \\ 0 & -\frac{1}{\sigma L_s} \end{bmatrix} \quad (5)$$

The mechanical equation is given as follow:

$$J \frac{d\omega}{dt} = C_{em} - C_l - C_{res} \quad (6)$$

$$C_{em} = p_0 \frac{L_m^2}{L_r} (I_{qs} I'_{dr} - I_{ds} I'_{qr}) \quad (7)$$

$$C_{res} = fr \cdot \omega \quad (8)$$

The dynamic model with four electrical parameters and two mechanical parameters is formulated by the following set (9):

$$\begin{cases} \frac{d}{dt} I_{ds} = -\frac{1}{\sigma T_s} I_{ds} + \frac{1-\sigma}{\sigma} p_0 \omega I_{qs} + \frac{1-\sigma}{\sigma T_r} I'_{dr} + \frac{1-\sigma}{\sigma} p_0 \omega I'_{qr} + \frac{1}{\sigma L_s} V_{ds} \\ \frac{d}{dt} I_{qs} = -\frac{1-\sigma}{\sigma} p_0 \omega I_{ds} - \frac{1}{\sigma T_s} I_{qs} - \frac{1-\sigma}{\sigma} p_0 \omega I'_{dr} + \frac{1-\sigma}{\sigma T_r} I'_{qr} + \frac{1}{\sigma L_s} V_{qs} \\ \frac{d}{dt} I'_{dr} = \frac{1}{\sigma T_s} I_{ds} + -\frac{1}{\sigma} p_0 \omega I_{qs} + -\frac{1}{\sigma T_r} I'_{dr} + -\frac{1}{\sigma} p_0 \omega I'_{qr} + -\frac{1}{\sigma L_s} V_{ds} \\ \frac{d}{dt} I'_{qr} = \frac{1}{\sigma} p_0 \omega I_{ds} + \frac{1}{\sigma T_s} I_{qs} + \frac{1}{\sigma} p_0 \omega I'_{dr} - \frac{1}{\sigma T_r} I'_{qr} - \frac{1}{\sigma L_s} V_{qs} \\ \frac{d}{dt} \omega = \frac{p_0}{J} L_s (1-\sigma) (I_{qs} I'_{dr} - I_{ds} I'_{qr}) - \frac{fr \cdot \omega}{J} \end{cases} \quad (9)$$

Consequently, the parameters vector is given by:

$$p_v = [\sigma, \quad T_r, \quad L_s, \quad T_s, \quad J, \quad fr]$$

Thus, the IM is characterized by the parameters vector p_v . This vector is obtained from the measurement of the current and the corresponding voltage applied to the machine.

2.2. Identification method

To estimate the parameters vector p_v , the objective function E is minimized by the Alienor method. The proposed algorithm minimize the quadratic error between the experimental current I_{mes} and the calculate one I_{cal} . The objective function is given by:

$$E = \sum_{i=1}^n (I_{mesi} - I_{cali})^2 \tag{10}$$

Where n is the number of the experimental values.

The used identification method is presented in Figure 1. To estimate the vector of parameters p_v , the objective function E is minimized by using the Alienor method.

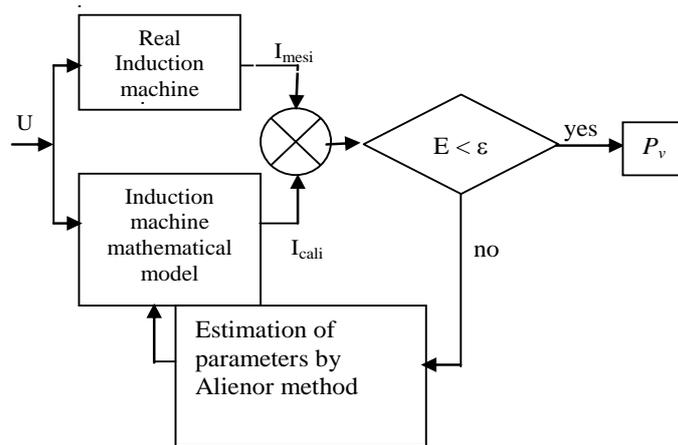


Figure 1. Block diagram of the identification method

2.3. The Alienor method

The Alienor method is based on transforming a multi-dimensional optimization problem to a one dimensional optimization problem using a reducing transformation [21]. The based reducing transformation use the properties of the Archimedes' spiral [22], where variables are determined by their polar coordinates. For example: when it has two variables x_1 and x_2 . In first, these ones are determined as:

$$x_1 = r \cos \theta \text{ and } x_2 = r \sin \theta \tag{11}$$

The relation between r and θ can be fined by the Archimedes' spiral, where the equation is $r = a\theta$, $\theta \geq 0$. and $a \geq 0$ (Fixed and destined to tender to zero). Then, the two variables are obtained as follow:

$$x_1 = a\theta \cos \theta = h_1(\theta) \quad x_2 = a\theta \sin \theta = h_2(\theta) \tag{12}$$

Finally, the two variables x_1 and x_2 are expressed as a function of the unique variable θ . This reducing transformation can be used for n variables ($n > 2$). But when the number of variables increase, it will be difficult to determine the functions $h_i(\theta)$. Because they are not obtained in only one step [26], where the computing time is proportional to the number of variables. After, it has proposed many reducing transformations [27] which enable us to determine the functions $h_i(\theta)$ in only once, which brings back for us to facilitate calculations when we have a large number of variables. The Alienor's method can be used for solving an optimization problem which is summarized as follow:

$$\min_{(x_1, \dots, x_n) \in \prod_{i=1}^n [a_i, b_i]} f(x_1, \dots, x_n) \tag{13}$$

Where f is a continuous function.
The variables x_i are substituted by the reducing transformation

$$x_i = h_i(\theta), \quad i=1, \dots, n \quad (14)$$

So, we obtain the following approached problem

$$\min_{\theta \in [0, \theta_{max}]} g(\theta) \quad (15)$$

Where $g(\theta) = f(h_1(\theta), \dots, h_n(\theta))$, which is a function with a single variable. θ_{max} is the maximum value of θ . To solve this one-dimensional minimization problem, we can follow steps given in [21, 26]. So the interval $[0, \theta_{max}]$ is discretized into N points, with a chosen step $\Delta\theta$, where $\theta_{max} = N\Delta\theta$. Then we look for the absolute minimum in the set $g(\theta_j)$, where $j = 1, 2, \dots, N$. Once the global solution of this optimization problem is obtained for an θ^* , the reducing transformation given in (14) is used to deduce the variables x_i as follows:

$$x_i = h_i(\theta^*) \quad (16)$$

2.3.1. The used reducing transformation

In this part we present the reducing transformation which is used to transform the minimized function to a function of only a single variable. We have used the Konfé-Cherruault [22] transformation, which is defined by:

$$x_i = h_i(\theta) = \left(\frac{1}{2}\right)[(b_i - a_i) * \cos(w_i\theta + \varphi_i) + (b_i + a_i)] \quad (17)$$

The Choice of the parameters is given as follows:

w_i and φ_i are two slowly growing sequences.

a_i and b_i are the bounded value of the variable x_i ($x_i \in [a_i, b_i]$).

$\theta \in [0, \theta_{max}]$. Where θ_{max} is the maximum value of θ . So, each reduction transformation has a method for calculate the θ_{max} . For this one we can calculate the θ_{max} as flow [26, 28]:

$$\theta_{max} = \max\left(\frac{(b_i - a_i)\theta_{1max} + (b_i + a_i)}{2}\right) \quad (18)$$

Where:

$$\theta_{1max} = \frac{2\pi - \varphi_1}{\omega_1} \quad (19)$$

3. RESULTS AND DISCUSSION

3.1. Simulation results

A numerical program based on Alienor method is applied to simultaneously a benchmark functions and a simulated data of an induction machine.

3.1.1. Benchmark functions

To prove the validity of the Konfé-Cherruault transformation, we are brought back to apply it on two benchmark functions. Table 1 shows the minimization problems which are chosen. The reducing transformation defined by the (17) is used to define the variables x_i . With $\theta \in [0, \theta_{max}]$, which is calculated according to (18). In Table 2 the values of θ_{max} and θ^* are illustrated. θ_{max} is calculated by (18), (16) and θ^* is the obtained solution of the problem defined by (15). The optimal solutions x_i are deduced using the reducing transformation (17) where $\theta = \theta^*$. Table 3 shows the given and the simulated solutions. According to the simulation results presented in Table 3, it can be noticed that the obtained results are very satisfactory. So, the Alienor method and the developed program are valid.

Table 1. Benchmark functions

Function	Test area	Reducing transformation
Rosenbrock $f(x_1, x_2) = 100(x_2 - x_1^2)^2 + (1 - x_1)^2$	$[-5,5] \times [-5,5]$	$x_1 = h_1(\theta) = (1/2)[(5 + 5) * \cos(1500\theta + 0,05) + (-5 + 5)]$ $x_2 = h_2(\theta) = (1 / 2)[(5 + 5) * \cos(1500,0001\theta + 0,0505) + (-5 + 5)]$
Six-hump camel back $f(x_1, x_2) = \left(4 - 2.1x_1^2 + \frac{x_1^4}{3}\right)x_1^2 + x_1x_2 + (-4 + 4x_2^2)$	$[-3,3] \times [-2,2]$	$x_1 = h_1(\theta) = (1/2)[(3 + 3) * \cos(2132\theta) + (-3 + 3)]$ $x_2 = h_2(\theta) = (1/2)[(2 + 2) * \cos(2133\theta + 0,0005) + (-2 + 2)]$

Table 2. Parameters θ_{max} and θ^*

Rosenbrock	Six-hump camel back
$\theta_{max} = 5.0208$	$\theta_{max} = 3.0088$
$\theta^* = 1.0397$	$\theta^* = 0.3942$

Table 3. Given and estimated solution

Benchmark function	Given solution	Simulated solution
Rosenbrock	$x_1 = 1$ $x_2 = 1$ $\min(f(x_1, x_2)) = 0$	$x_1 = 0,9970$ $x_2 = 0,9941$ $\min(f(x_1, x_2)) = 8,798 \times 10^{-6}$
Six-hump	$x_1 = -0.0898$ or 0.0898 $x_2 = 0.7126$ or -0.7126 $\min(f(x_1, x_2)) = -1.0316$	$x_1 = -0,0922$ $x_2 = 0,7119$ $\min(f(x_1, x_2)) = -1.0316$

3.1.2. Simulated data

To make sure of the developed method efficiency, the Alienor estimated parameters are compared with given parameters of an IM feed by a sine voltage and they are also compared with the GA estimated parameters. Using the Runge-Kutta method, simulated data are obtained by the numerical solution of (9) with given motor parameters. Figure 2 shows the sample sinusoidal voltage and the corresponding current

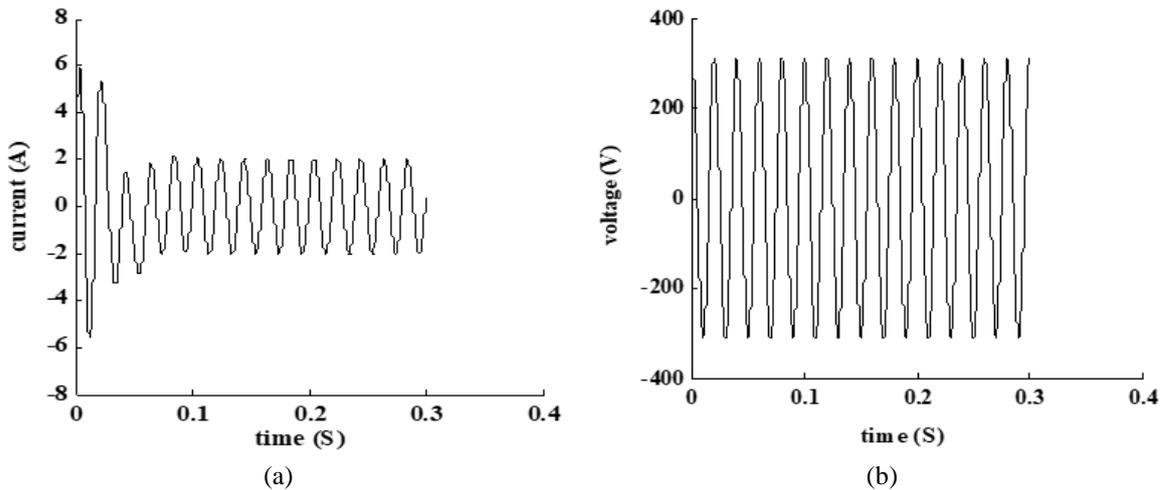


Figure 2. (a) Voltage supply and (b) the corresponding starting stator current

By using the reducing transformation (17), the parameters vector is given as follows:

$$\begin{aligned}
 \sigma &= h_1(\theta) \\
 T_r &= h_2(\theta) \\
 L_s &= h_3(\theta) \\
 T_s &= h_4(\theta) \\
 J &= h_5(\theta) \\
 f_r &= h_6(\theta)
 \end{aligned} \tag{20}$$

With:

$\theta \in [0, \theta_{max}]$. Where $\theta_{max} = 0.34668$, which is calculated by (17) and (18).

And:

$$\begin{aligned}
 \omega_1 &= 150, \omega_2 = 1500, d\omega = 1, \text{ And } \omega_i = \omega_{i-1} + d\omega \text{ with } i = 3 \text{ to } 6 \\
 \varphi_1 &= 0, \varphi_2 = 0, \varphi_i = \varphi_{i-1} + d\varphi \text{ with } i = 3 \text{ to } 6
 \end{aligned}$$

The bounded values ai and bi are respectively fixed as follow:

$[0.0100, 0.4320] \times [0.0001, 0.0326] \times [0.0500, 0.7155] \times [0.0001, 0.0840] \times [0.0001, 0.0093] \times [0.0001, 0.0410]$.

It has used a step $\Delta\theta = 10^{-3}$

With the develop program, it find the optimal solution of the problem defined by (15), $\theta^* = 0.072$. Then using (17), the estimated parameters are deduced. Table 4 shows the obtained results as well as the given parameters and the GA estimated ones. One can see the agreement between the estimated parameters and the given ones. In addition, it found that the Alienor method presents a better number of iterations and a reduced time computing compared with GA method.

Table 4. Parameters identification result

Parameters	Given parameters	Estimated parameters Alienor	Estimated parameters GA
σ	0.1800	0.17999	0.18000
$T_r(s)$	0.0225	0.02245	0.02250
$L_s(H)$	0.4850	0.48503	0.48499
$T_s(s)$	0.0520	0.05201	0.05200
$J(kg.m^2)$	0.00548	0.00546	0.00547
$f_r(Nm.s/Rd)$	0.02250	0.02248	0.02250
Number of iterations		347	367
Time computing (S)		155.381	296.02

3.2. Experimental results

The program based on Alienor method is applied to estimate the induction machine parameters. The experimental tests are implemented on a 3-phase induction machine with the following characteristics: 4 poles, 220V/380V, and 1.5KW. The startup current and the corresponding phase voltage are simultaneously measured. Figure 3 represents respectively the measured current and the corresponding voltage.

With $\theta_{max} = 0.50251$

$$\omega_1 = 150, \omega_2 = 1500 + d\omega, d\omega = 0.068$$

$$\text{And } \omega_i = \omega_{i-1} + d\omega \text{ with } i = 3 \text{ to } 6$$

$$\varphi_1 = 0.06, \varphi_2 = 0.006, \varphi_i = \varphi_{i-1} + d\varphi \text{ with } i = 2 \text{ to } 6$$

And the bounded values ai and bi are respectively fixed as follow: $[0.00001, 0.08740] \times [0.05000, 0.84600] \times [0.09000, 1.05500] \times [0.00400, 0.83600] \times [0.00100, 0.35500] \times [0.00700, 0.03800]$. For this problem it has chosen a step $\Delta\theta = 10^{-3}$.

With the develop program, it find the optimal solution of the problem defined by (15), $\theta^* = 0.077$. Table 5 shows results obtained using the measured data for the chosen $\Delta\theta$. Comparative study with the GA method was made to justify the performance results of the proposed algorithm. From these results, it can be clearly seen that the parameters obtained by the two optimization methods are close to one another. On the other hand, in view of the number of iterations and time computing it notices that the Alienor method gives a better result compared to the GA method. The estimated parameters for the Alienor and the GA methods are used for obtaining the calculated currents. Figure 4 shows

the superposition of the calculated currents over the measured one. One can see that the calculated currents are closer to the measured one.

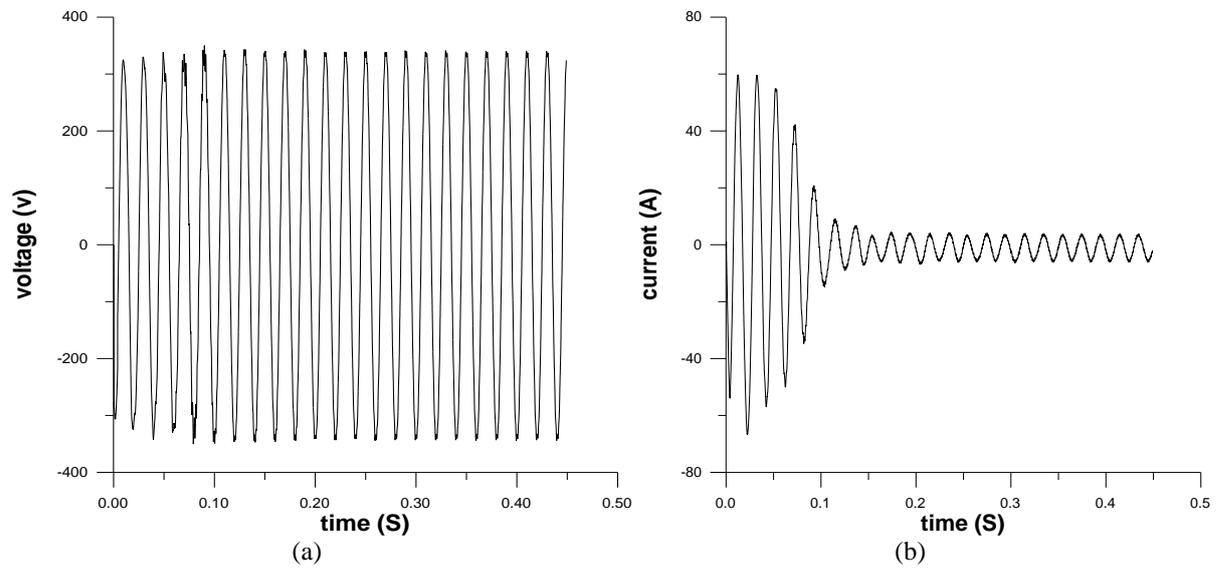


Figure 3. (a) Voltage measured; (b) current measured

Table 5. Results of estimated parameters

Parameters	Estimated parameters Alienor	Estimated parameters GA
σ	0.06889	0.06890
$T_s(s)$	0.13546	0.13530
$L_s(H)$	0.19028	0.19010
$T_r(s)$	0.08762	0.08750
$J(kg.m^2)$	0.03539	0.03530
$f_r(Nm.s/Rd)$	0.00990	0.09900
Number of iterations	503	1211
Computing Time (S)	420.438	30755.228

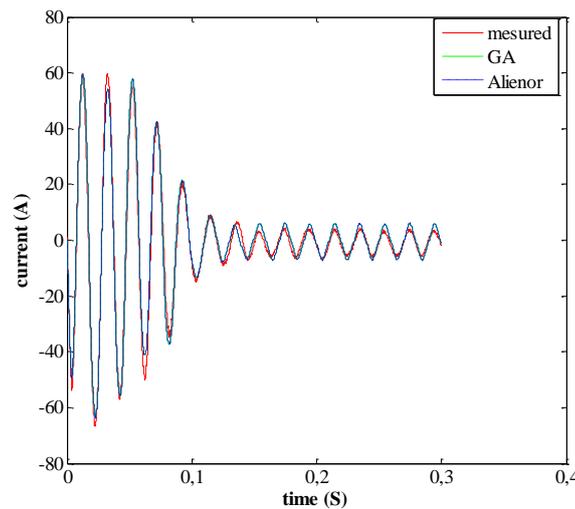


Figure 4. Superposition of the measured current and calculated ones with the estimated parameters

4. CONCLUSION

In this paper, an efficient identification method of IM is presented. The electrical and mechanical parameters of an IM are determined simultaneously by using only the measured current and the corresponding phase voltage. This identification method is based on the output error and uses the Alienor method as a minimization technique. The reducing transformation is proposed in order to improve the Alienor method performance. There is applied on two benchmarked functions. By simulated data from known parameters induction machine, the method is successfully tested, and it is found to retrieve the model parameters with high accuracy. The result shows that the proposed Alienor methods finds the optima more quickly and possess a lower computing time than GA. Using measured data on one machine, the effectiveness of the method is very suitable since it is well confirmed by the good parameters obtained showing the corresponding computed transient and steady state currents agree well with the measured data. The results obtained show the superiority of the proposed Alienor method versus GA in terms of computing time and convergence speed.

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